



Working Together – We’re More Than OK!

2023 ANNUAL CONFERENCE – OKLAHOMA CITY, OKLAHOMA

JUNE 11 – 14, 2023

Here’s a special preview of one of the sessions featured in the upcoming 2023 NCSLA Annual Conference Program:

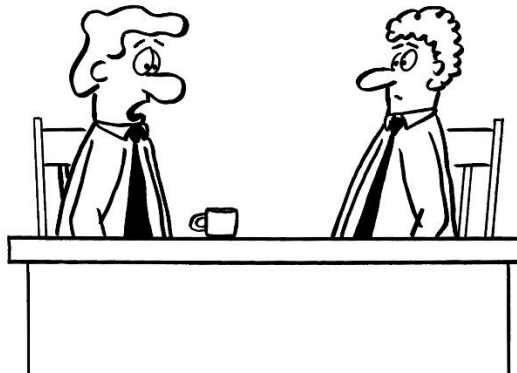
PANEL #12: Tricky Ethics in a Very Small World: Considerations of Regulators, Former Regulators, and Private Practitioners

Stu’s Views

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I always want
to do the right thing,
but so often it interferes
with my legal practice.



As lawyers, we are faced with ethical decisions every day in our workplace...and the answers are not always self-evident. Practicing in a highly-regulated area, such as the alcoholic beverage industry, brings many privileges but also many responsibilities to “do the right thing.” For this panel, you’ll hear from current regulators, former regulators, and private practitioners, each with their own stories about practicing in the alcoholic beverage ecosystem. *What are the guardrails for when we interact with one another, to whom do you owe the duty of care, responsibility and confidentiality, and how best to manage conflicts of interest?* We will cover ABA Model Rules 1.3 (Diligence), 1.4 (Communications), 1.7 (Conflicts of Interest), 1.9 (Duties to Former Clients) and others, including the commentary, as well as corresponding Ethics Rules in New York and Oklahoma.

Please join **NICHOLAS J. BERGMAN, ESQ.** (Partner, Buchman Law Firm, LLP, New York, NY), as your Moderator, along with esteemed Panelists **LORI S. CARTER, ESQ.** (OK ABLE Commission Deputy Director and General Counsel, Oklahoma City, OK), **ELLEN K. SPIROPOULOS** (Of Counsel, Phillips Murrah P.C., Oklahoma City, OK), **BARBARA J. KWON, ESQ.** (Partner, Danow, McMullan & Panoff P.C., New York, NY) and **CHRISTOPHER R. RIANO, ESQ.** (Of Counsel, Holland & Knight LLP, New York, NY) as we explore practical ethical issues at the nexus of alcoholic beverage control law and regulation.

For those who need to fulfill Continuing Legal Education (CLE) requirements, **NCSLA has obtained approval from California, Iowa, Missouri, and Oklahoma** and is working on pre-approval from other state authorities. We will provide a Uniform Certificate of Attendance at the conference and on the NCSLA website and meeting app for submission to your local jurisdiction and keep you apprised of state approvals as they are received.

REGISTRATION IS OPEN AT [2023 NCSLA ANNUAL CONFERENCE – OKLAHOMA CITY, OKLAHOMA](#). Register today and enjoy this session and the many other informative panels that are planned for the [2023 NCSLA Annual Conference Business Agenda](#).

On behalf of our dedicated team of state and associate members who have supported the program planning for this annual conference, we welcome you to register today and make plans to reconnect with our members from across the country this summer. We look forward to seeing you in June!

STEPHANIE STRAUSS
NCSLA PRESIDENT, 2022-23
STATE MEMBER, IOWA

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2023 PROGRAM PLANNING CO-CHAIR
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